Please type a plus sign (+) inside this box➜ [ + ]

# NEW UTILITY PATENT APPLICATION TRANSMITTAL

*(only for new nonprovisional applications under*

**37 CFR 1.53(b))**

| | |
|---|---|
| *Attorney Docket Number* | Unassigned |
| *First Named Inventor* | Pankaj S. Parekh, et al. |
| *Title* | System and Method for Detection of Intrusion Attacks on Packets Transmitted on a Network |
| *Express Mail Label No.* | EL566109700US |

| APPLICATION ELEMENTS | ACCOMPANYING APPLICATION PARTS |
|---|---|
| 1. ☒ Fee Transmittal Form (in duplicate) | 7. ☐ Assignment Papers (cover sheet & document(s)) |
| 2. ☐ Applicant claims small entity status. See 37 CFR 1.27 | 8. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)* |
| 3. ☒ Specification          Total Pages [41] | 9. ☐ Power of Attorney or Authorization of Agent |
| *(preferred arrangement set forth below)* | 10. ☐ 37 CFR 3.73(b) Statement |
| ■ Descriptive Title of the Invention | |
| ■ Cross Reference(s) to Related Case(s) | 11. ☐ Preliminary Amendment |
| ■ Statement Regarding Fed sponsored R & D | 12. ☐ Information Disclosure Statement & PTO-1449 |
| ■ Background of the Invention | ☐ Copies of IDS Citation(s) |
| ■ Brief Summary of the Invention | |
| ■ Brief Description of the Drawing(s) | 13. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent |
| ■ Detailed Description | |
| ■ Claim or Claims | |
| ■ Abstract of the Disclosure | 14. ☒ Return Postcard |
| 4. ☒ Drawing(s) *(35 U.S.C. 113)*     Total Sheets [4] | 15. ☐ _____ |
| 5. Oath or Declaration | 16. ☐ _____ |
| a. ☒ NewCombined Declaration and Power of Attorney     Total Pages [3] | 17. ☐ _____ |
| ☐ Executed (original or copy) | |
| b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional with Box 18 completed)* | |
| i. ☐ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | |
| 6. ☐ Application Data Sheet. See 37 CFR 1.76 | *ADDRESS TO:* **Box Patent Application Commissioner for Patents Washington, D.C. 20231** |

**18. If a CONTINUING APPLICATION,** *check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:*

☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   of prior application No: _____/_____

*Prior application information:*   *Examiner:* _____   *Group/Art Unit:* _____

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

☒ *Customer Number and Bar Code Label*

## 00758

| Name *(Print/Type)* | Christopher M. Tobin | Registration No. *(Attorney/Agent)* | 40,290 |
|---|---|---|---|
| Signature | | Date | January 17, 2002 |

19567/05717/DOCS/1238598.1

**0002/PTO(modified)**
**Rev. 10/2001**

U.S. Department of Commerce
Patent and Trademark Office

# FEE TRANSMITTAL

## TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **($)1,100.00**

| *Complete if Known* | |
|---|---|
| Application Number | Not Yet Assigned |
| Filing Date | 1/17/2002 |
| First Named Inventor | Pankaj S. Parekh, et al. |
| Group Art Unit | Not Yet Assigned |
| Examiner Name | Not Yet Assigned |
| Attorney Docket Number | 19567-05717 |

## METHOD OF PAYMENT

**1. The Commissioner is hereby authorized to:**

☒ Charge the indicated fees to the below mentioned deposit account. $360.00

☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †

☐ Applicant claims small entity status
See 37 CFR 1 27

**Deposit Account Number: 19-2555**
**Deposit Account Name: FENWICK & WEST LLP**

**A Duplicate Copy of this authorization is attached**

**2. ☒ Payment Enclosed:**
☒ Check ☐ Credit Card ☐ Other

## FEE CALCULATION (fees effective 10/01/2001)

### 1. FILING FEE

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|---|---|---|---|
| 107/$740 | 201/$370 | Utility Filing | 740 |
| 106/$330 | 206/$165 | Design Filing | |
| 108/$740 | 208/$370 | Reissue | |
| 114/$160 | 214/$80 | Provisional Filing | |
| | | **SUBTOTAL (1)** | **($) 740** |

### 2. CLAIMS

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description |
|---|---|---|
| 103/$18 | 203/$9 | Claims in excess of 20 |
| 102/$84 | 202/$42 | Independent claims in excess of 3 |
| 104/$280 | 204/$140 | Multiple dependent claim |
| 109/$84 | 209/$42 | Reissue independent claims over original patent |
| 110/$18 | 210/$9 | Reissue claims in excess of 20 and over original Patent |

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|---|---|---|---|
| 105/$130 | 205/$65 | Surcharge - late filing fee or oath | |
| 127/$50 | 227/$25 | Surcharge-late provisional filing fee or cover sheet | |
| 147/$2,520 | 147/$2,520 | For filing a request for reexamination | |
| 115/$110 | 215/$55 | Extension for response within first month† | |
| 116/$400 | 216/$200 | Extension for response within second month† | |
| 117/$920 | 217/$460 | Extension for response within third month† | |
| 118/$1,440 | 218/$720 | Extension for response within fourth month† | |
| 128/$1,960 | 228/$980 | Extension for response within fifth month† | |
| 119/$320 | 219/$160 | Notice of Appeal | |
| 141/$1,280 | 241/$640 | Petition to revive unintentionally abandoned Application | |
| 142/$1,280 | 242/$640 | Utility Issue Fee (Or Reissue) | |
| 143/$460 | 243/$230 | Design Issue Fee | |
| 122/$130 | 122/$130 | Petitions to the Commissioner | |
| 126/$180 | 126/$180 | Submission of Information Disclosure Statement | |
| 179/$740 | 279/$370 | Request for Continued Examination (RCE) | |
| 581/$40 | 581/$40 | Recording each patent assignment per property (times number of properties) | |
| 146/$740 | 246/$370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149/$740 | 249/$370 | For each additional invention to be examined (37 CFR 1 129(b)) | |
| | | Other fee (*specify*): | |
| | | Other fee (*specify*): | |
| | | **SUBTOTAL (3)** | **($)** |

| | (Col 1) | | (Col 2) | | | (Col 3) | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| For | No. of Existing Claims | | Highest No. Previously Paid For | | | Extra** | | Fee | | Fee Due |
| TOTAL | 26 | minus* | 20 | or | 0 | = | 6 | x | 18 | = | 108 |
| INDEP | 6 | minus* | 3 | or | 0 | = | 3 | x | 84 | = | 252 |
| [ ] First presentation of multiple dependent claim | | | | | | | | | = | |

\* *Subtract the greater number of Col. 2*
\** *If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3*

**SUBTOTAL (2)** **($)360**

| SUBMITTED BY | | | Complete (if applicable) | |
|---|---|---|---|---|
| Typed or Printed Name | **Christopher M. Tobin** | | Reg. Number | 40,290 |
| Signature | | | Date | January 17 2002 |

† *Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby*
19567/05717/DOCS/1238603.1

| REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i) | First Named Inventor | Pankaj S. Parekh, et al. |
|---|---|---|
| | Title | SYSTEM AND METHOD FOR DETECTION OF INTRUSION ATTACKS ON PACKETS TRANSMITTED ON A NETWORK |
| | Atty. Docket Number | 19567-05717 |

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 17, 2002
————— /Date
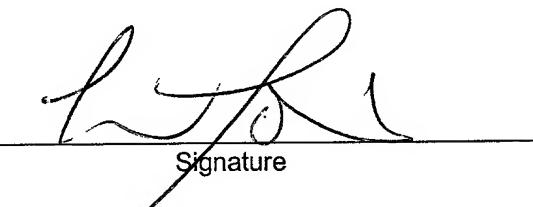
Signature

**Christopher M. Tobin/Reg. No. 40,290**
Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.**

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**